#7

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit:

Bardsley, J.

To Be Assigned

Serial No.: To Be Assigned

IPLaw T81/B503

: IBM Corporation

Filed:Concurrently Herewith

PO Box 12195

RTP, NC 27709

Title: Correlating Network Information and Intrusion Information to Find the Entry Point of an

Attack Upon a Protected Computer

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Respectfully submitted,

 $\mathbf{R}\mathbf{v}$

David R. Irvin, Agent

Reg. No. 42,682

DRI/ssc

Docket: RSW920010137US1

Phone: 919-543-7810 / Fax: 919-254-4330



FORM PTO-1449 (Modified)

List of Patents and Publications for Applicant's Information Disclosure Statement

(Use several sheets if necessary)

ATTY. DOCKET NO. RSW920010137US1

SERIAL NO. TO BE ASSIGNED

APPLICANT: Bardsley, J.

FILING DATE: To be Assigned

GROUP: To be Assigned



Reference Designation			US PATENT DOCUMENTS			
Examiner nitials		Document Number	Date	Name	Class/Subclass	Filing Date (If. Appro.)
	AA	5,805,801	09/08/98	Holloway et al.	395/187.01	01/09/97
	AB	5,892,903	04/06/99	Klaus	395/187.01	09/12/96
	AC	5,905,859	05/18/99	Holloway et al.	395/187.01	01/09/97
	AD	6,170,061	01/02/01	Beser	713/201	02/04/98
	ΑE	6,006,272	12/21/99	Aravamudan et al.	709/245	02/23/98
	AF	5,835,727	11/10/98	Wong et al.	395/200.68	12/09/96
	AG	5,511,122	04/23/96	Atkinson	380/25	06/03/94
	AH	6,119,236	09/12/00	Shipley	713/207	12/10/98
*****			FOREIG	ON PATENT DOCUMEN	ITS	
		Document				Translation
		Number	Date	Country	Class/Subclass	Yes No
	Al	00/62167	10/19/00	WIPO	G06F 11/30	X
	AJ	99/48303	09/23/99	WIPO	H04Q	X
	ОТН	IER ART (incl	uding Auth	or, Title, Data, Pertine	nt Pages, etc.)	
		•	•		-	me of Doniel of
	AK	Boivie, R. "Method for Protecting Web Servers Against Various Forms of Denial-of Service Attacks", IBM Patent Application, Serial #09/650,524 Filed August 30, 200				
Examiner		Date Considered				

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.